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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 19b-4(e)

OMB APPROVAL

OMB Number: 3235-0504 Expires: August 31, 2010 Mail Estimated average burden hours per response.....3.60

Section

Information Required of a Self-Regulatory Organization Listing and Friding a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

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Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Nasdag PHLX LLC (traded pursuant to unlisted trading privileges)
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company**
- 3. Class of New Derivative Securities Product:
 - **Exchange Traded Fund**

Name of Underlying Instrument:

CIBC Atlas Clean Energy Index



18002889

- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based. **Broad-based**
- Ticker Symbol(s) of New Derivative Securities Product:

ACES

- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE, Toronto
- 8. Position Limits of New Derivative Securities Product (if applicable):

Regular way trades settle on T + 2 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable): N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

William Slattery

Title:

Vice President

Telephone Number:

+1 301 9788088

Mahual Signature of Official Responsible for Form:

Date: July 12, 2018

SEC 2449 (6-01)

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